# A STEGANOGRAPHIC FRAMEWORK FOR DUAL AUTHENTICATION AND COMPRESSION OF HIGH RESOLUTION IMAGERY

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#### ABSTRACT

This paper proposes an approach for the combined image authentication and compression of color images by making use of a digital watermarking and data hiding framework. The digital watermark is comprised of two components: a soft-authenticator watermark for authentication and tamper assessment of the given image, and a chrominance watermark employed to improve the efficiency of compression. The multipurpose watermark is designed by exploiting the orthogonality of various domains used for authentication, color decomposition and watermark insertion. The approach is implemented as a DCT-DWT dual domain algorithm. Simulations and comparisons of the proposed approach with state-of-the-art existing work demonstrate the potential of the overall scheme.

# 1. INTRODUCTION

It has been recently shown that steganography (related to hiding the "existence" of messages) and digital watermarking can be used for a diverse set of applications such as media authentication and compression. For authentication, the approach has the potential to provide the necessary "soft" integrity verification capabilities that traditional digital signatures cannot [1, 2] Furthermore, data hiding can be used to exploit the inefficiencies of certain lossy compression algorithms to provide even more compression reduction in size for color images [3]. In this work, we focus on providing both authentication capabilities and compressive data hiding. To the best of the authors' knowledge our approach is the first method that combines both processes using data hiding.

A review of existing digital watermarking approaches for image authentication shows that previous techniques can be classified as employing a *single domain host dependent watermark* [4, 5, 6] in which the image-dependent watermark generation and embedding are "mixed" in the same domain, or as involving a *host independent watermark* [1, 7], in which the watermark is a random sequence or logo independent of the image and embedded in a given domain. The former class of techniques suffers from high sensitivity or the inability to appropriately localize the degradations on the signal. The latter category requires the transmission the watermark W itself or an equivalent signal which makes the approach susceptible to eavesdropping and sophisticated attempts of fraud. In this work, we assert that the use of orthogonal subspace dual domains can keep the watermark embedding, which occurs in one image subspace, from interfering with watermark generation, which is applied to another orthogonal subspace, for more controlled soft authentication while overcoming the limitations of previous work.

The next section presents our framework. Section 3 describes our proposed dual domain authentication and compression scheme followed by simulations, and final remarks in Sections 4 and 5.

## 2. FRAMEWORK

Our framework is comprised of the following components:

1. The generating function,  $f_g$ , which produces the watermark signal W to embed as follows:

$$W = f_g(\iota, \kappa, Y) \tag{1}$$

where  $\kappa$  is the secret generation key known only to the sender and receiver, Y is the luminance of the host<sup>1</sup> image X, and  $\iota$  is called the watermark "payload" which is comprised of a bit sequence independent of  $\kappa$  and Y. In our application, W has two parts: an *authenticator watermark* component  $W_a$  employed for security and a *chrominance watermark* component  $W_c$  to help with compression; we represent this relationship as a concatenation:  $W = [W_a || W_c]$  where || is the concatenation operator.

2. The embedding function,  $f_m$ , which inserts W into the luminance host data Y with the help of a secret *embedding key* K known only to the sender and receiver, yielding the watermarked data:

$$Y_w = f_m(Y, W, K) \tag{2}$$

such that  $Y_w$  is perceptually identical to Y.

3. The lossy compression function,  $f_l$ , which reduces the practical storage requirements of  $Y_w$  to form the compressed signal  $\tilde{Y}_w$  as follows:

$$\tilde{Y}_w = f_l(Y_w). \tag{3}$$

 $<sup>^{1}</sup>$ The *host* image by definition is the signal in which the watermark is embedded.

where  $\tilde{Y}_w$  is the compressed secured version of Y.

4. The extracting function,  $f_x$ , which recovers the watermark information,  $\hat{W}$ , from the received watermarked data,  $\hat{Y_w}$  (which may differ from  $\tilde{Y_w}$  because of distortions in the image distribution chain), using the secret key K:

$$\hat{W} = f_x(\hat{Y}_w, K). \tag{4}$$

5. The **recovery function**,  $f_r$ , which employs  $\hat{W}$  for authentication and color recovery of the image:

$$[R_a, \hat{X}_w] = f_r(\hat{Y}_w, \hat{W}, \kappa') \tag{5}$$

where  $\kappa'$  is a key available to the receiver that is different than (or the same as)  $\kappa$  if asymmetric (or symmetric) encryption is employed for authentication,  $R_a$ is a statistic that allows the authentication and tamper assessment of  $\hat{Y}_w$ , and  $\hat{X}_w$  is the overall colorrecovered version of  $\hat{Y}_w$ .

The authenticator watermark  $W_a$  should represent a secure content-based adaptive authenticator such that it is a function of image features invariant to predefined contentpreserving image processing operations denoted  $\Omega_R$  while fragile to specified content modification attacks denoted  $\Omega_F$ . In addition, the component of the payload  $\iota$  corresponding to the chrominance watermark  $W_c$  should be a compressed version of the color information to be later combined with the watermarked luminance image for color recovery.

For design simplicity, the host image should be partitioned into two distinct components – one in which to embed  $W_a$  and another for  $W_c$  – and employ different embedding approaches for each. This facilitates more straightforward control over achieving both tasks of authentication and compression. Furthermore, embedding should not affect authenticator watermark generation. Another consideration is that to achieve overall compression gains, chrominance embedding and lossy compression must work together. Specifically, given a coder structure  $f_l$ , the inefficiencies of compression should be exploited as unused bandwidth available for  $W_c$  embedding.

#### 3. ALGORITHM

#### 3.1. Orthogonality and Dual Domains

Our philosophy is to break an image into the following subspaces:  $V_c$  containing the chrominance information of the image to produce  $W_c$ , and  $V_l$  containing the luminance component. Furthermore,  $V_l$  is partitioned into subspaces  $V_{gen}$ for  $W_a$  generation,  $V_{emb,a}$  for  $W_a$  embedding, and  $V_{emb,c}$ for  $W_c$  embedding. Ideally, all subspaces should be orthogonal, so that any processing involved in these domains do not interfere with one another. Moreover,  $V_{gen}$  should allow access to "salient" image features that can be exploited by  $f_g$  to relate to the integrity of the image. Similarly,  $V_{emb,a}$  should also contain features that are related to image credibility, but that can be used to characterize tampering, and  $V_{emb,c}$  should be reasonably invariant to  $f_l$  so that the chrominance information can be robustly embedding. This can be achieved with the use of dual domains to produce  $V_{gen}, V_{emg,a}$  and  $V_{emb,c}$ .

Given these basic principles, we next present an algorithm for joint authentication and compression of imagery.

#### 3.2. Algorithmic Specifics

For our simulations, we make use of cultural heritage (CH) imagery and therefore reason that soft authentication must be forgiving of mild compression, low energy additive noise, and linear filtering to collectively form  $\Omega_R$  as discussed in Section 4. In contrast, we would like the scheme to recognize forgery of the entire image, addition, removal or extreme changes in spatially localized visual features; these attacks collectively form  $\Omega_F$ .

Our proposed method is summarized in Figure 1. The color image is first decomposed into the YIQ color space. The luminance component is passed through a soft authenticator generation algorithm to produce  $W_a$ . The chrominance components I and Q are subsampled using a 2-D discrete wavelet transform (DWT) to form  $W_c$ . Then  $W_a$  and  $W_c$  are embedded in turn to produce the watermarked image which is then compressed using an adaptive waveletbased compression algorithm discussed in [3]. We next describe the soft authenticator generation, embedding and extraction. Details of the color information embedding and adaptive compression are described in [3]. The transforms used are all separable for computational simplicity.



**Fig. 1**. Dual domain compression and authentication watermark generation.

The  $W_a$  generation, detailed below, aims to take the essential image features invariant to  $\Omega_R$  and fragile to  $\Omega_F$  and secure it cryptographically.

- 1. DCT: Take the 8×8 block DCT of the  $M_x \times M_y$  luminance component Y to produce the coefficients  $f_{D_{i,j}}(u, v)$  where (i, j) for  $1 \le i \le \lceil \frac{M_x}{8} \rceil$ ,  $1 \le j \le \lceil \frac{M_y}{8} \rceil$  denotes the particular block and (u, v) for  $1 \le u, v \le 8$  is the frequency index where (1, 1, ) represents the dc coefficient.
- 2. Feature Extraction: Compose a matrix of dc block coefficients D as follows:  $D(i,j) = f_{D_{i,j}}(1,1)$  for  $1 \le i \le \lceil \frac{M_x}{8} \rceil$ ,  $1 \le j \le \lceil \frac{M_y}{8} \rceil$ . Note: it is possible to determine D(i,j) by taking the direct average of each  $8 \times 8$  block. We consider both these stages for generality. Earlier implementations made use of non-dc DCT components.
- 3. Binary Transform: Initialize B as a matrix of zeros. Use the session key  $K_S$  to pair up every element D(i, j) with another element D(i', j') such that (i', j') is in a  $3 \times 3$ neighborhood around (i, j). If |D(i, j) - D(i', j')| < 16then find another pair member as follows. Consider a line connecting (i, j) to (i', j'). Rotate this line clockwise by

 $\frac{\pi}{4}$  radians to find another possibility for D(i',j'), and repeat until a proper D(i',j') can be found. Assuming a D(i',j') is found such that  $|D(i,j) - D(i',j')| \geq 16$ , the following relations will be preserved under JPEG compression of 70% and moderate SPIHT compression: D(i,j) = D(i',j'), D(i,j) > D(i',j') and D(i,j) < D(i',j'). Thus, these features (which we use to generate the watermark) are robust to reasonable levels of compression. If a proper D(i',j') cannot be found even after scanning all eight directions, leave B(i,j) as initially set to zero. Note: different coefficients may have the same pair member.

Create the  $\lceil \frac{M_x}{8} \rceil \times \lceil \frac{M_y}{8} \rceil$  binary matrix B as follows:

$$B(i,j) = \begin{cases} 0 & \text{if } D(i,j) \ge D(i',j') \\ 1 & \text{otherwise} \end{cases}$$
(6)

Let the first part of the  $\lceil \frac{M_x}{8} \rceil \times \lceil \frac{M_y}{8} \rceil$  authenticator watermark denoted  $W_{LH}$  be equal to B.

- 4. *Permutation:* For security, apply a element-level permutation on B making use of  $K_S$  to form the "random"  $\lceil \frac{M_x}{8} \rceil \times \lceil \frac{M_y}{8} \rceil$  matrix  $\tilde{B}$ .
- 5. Majority Function: Reduce the size of B by taking its "raw" characteristics to produce W as follows:

$$W(k) = \begin{cases} 1 & \text{if } \sum_{j=1}^{\lceil \frac{M_y}{8} \rceil} \tilde{B}(k,j) > \lceil \frac{M_y}{8} \rceil/2 \\ 0 & \text{otherwise} \end{cases}$$
(7)  
$$for \ k = 1, \dots, \lceil \frac{M_x}{8} \rceil$$
$$W(k) = \begin{cases} 1 & \text{if } \sum_{i=1}^{\lceil \frac{M_x}{8} \rceil} \tilde{B}(i,k-\frac{M_x}{8}) > \lceil \frac{M_x}{8} \rceil/2 \\ 0 & \text{otherwise} \end{cases}$$
for \ k = \lceil \frac{M\_x}{8} \rceil + 1, \dots, \lceil \frac{M\_x}{8} \rceil + \lceil \frac{M\_y}{8} \rceil (8)

- 6. Map Function: Map the  $\lceil \frac{M_x}{8} \rceil + \lceil \frac{M_y}{8} \rceil$  length sequence, W to a  $\lceil \frac{M_x}{8} \rceil \times \lceil \frac{M_y}{8} \rceil$  binary matrix,  $W_M$  using  $K_S$  suitable for encryption and watermark embedding.
- 7. Encryption: Use symmetric encryption to encrypt  $W_M$  using the secret key  $K_R$  (known only to the sender and receiver) to produce a binary matrix  $W_{HL}$  of the same dimension.

The  $W_a$  embedding strategy aims to be robust to  $\Omega_R$ and fragile to  $\Omega_F$  and works as follows:

- 1. Two Level Haar DWT: Take the two level Haar DWT of Y to obtain the  $\lceil \frac{M_x}{4} \rceil \times \lceil \frac{M_y}{4} \rceil$  second level LH band and HL bands denoted  $Y_{2LH}$  and  $Y_{2HL}$ , respectively, as well as the  $\lceil \frac{M_x}{2} \rceil \times \lceil \frac{M_y}{2} \rceil$  first level LH and HL bands denoted  $Y_{LH}$  and  $Y_{HL}$ , respectively.
- 2. Group Embedding: Embed the binary watermarks  $W_{LH}$ and  $W_{HL}$  in  $Y_{2LH}$  and  $Y_{2HL}$ , respectively, such that every  $2 \times 2$  block contains one watermark bit; the sum of the absolute element values in each  $2 \times 2$  block  $S_g(i, j)$  is modified to produce  $Y_{2LH}^w$  and  $Y_{2HL}^w$  as the follows:

$$S_{g}(i,j) = \sum_{m=1}^{2} \sum_{n=1}^{2} |Y_{2LH/HL}(n+2(i-1),m+2(j-1))|$$
$$q(i,j) = \lfloor \frac{S_{g}(i,j)}{4\delta} \rfloor$$
(9)

$$\begin{split} Y_{2LH/HL}^{w}(n+2(i-1),m+2(j-1)) = \\ \left\{ \begin{array}{l} Y_{2LH/HL}(n+2(i-1),m+2(j-1)) \\ \text{if } \operatorname{mod}(q(i,j),2) = W_{LH/HL}(i,j) \\ Y_{2LH/HL}(n+2(i-1),m+2(j-1)) \\ + \operatorname{sgn}(Y_{2LH/HL}(n+2(i-1),m+2(j-1)))\delta \\ \text{if } \operatorname{mod}(q(i,j),2) \neq W_{LH/HL}(i,j) \end{array} \right. \end{split} \tag{10}$$

where 
$$n, m = 1, 2$$
,  $\operatorname{sgn}(x) = \begin{cases} 1 & \text{if } x \ge 0 \\ -1 & \text{if } x < 0 \end{cases}$  and  $\delta$  is a user-specified quantization factor.

3. Image Recomposition: Recompose the image by taking the appropriate inverse discrete wavelet transforms (IDWTs) to produce the watermarked spatial domain luminance image  $Y^w$ .

For reasons of space the reader is referred to [3] for details on  $W_c$  generation, embedding and extraction. The overall  $W_a$  extraction process follows:

- 1. Two level Haar DWT: Take the two level Haar DWT transform on the received  $M_x \times M_y$  luminance  $Y^r$  to obtain the second level bands  $Y^r_{2LH}$  and  $Y^r_{2HL}$ ; and the first level bands  $Y^r_{LH}$  and  $Y^r_{HL}$ .
- 2. Group Extraction: Extract each watermark bit from every  $2 \times 2$  block of  $Y_{2LH}^r$  and  $Y_{2HL}^r$ .  $W_{LH}^e$  and  $W_{HL}^e$  are extracted from  $Y_{2LH}^r$  and  $Y_{2HL}^r$ , respectively, as follows:

$$S_g(i,j) = \sum_{m=1}^{2} \sum_{n=1}^{2} |Y_{2LH/HL}^r(n+2(i-1),m+2(j-1))|$$
$$q(i,j) = \lfloor \frac{S_g(i,j)}{4\delta} \rfloor$$
(11)

$$W^{e}_{LH/HL}(i,j) = \begin{cases} 0 & \text{if } \operatorname{mod}(q(i,j),2) = 0\\ 1 & \text{if } \operatorname{mod}(q(i,j),2) = 1 \end{cases}$$
(12)

For authentication, the extracted watermarks  $W_{LH}^{e}$  and  $W_{HL}^{e}$  are compared to a corresponding set generated from  $Y^{r}$  denoted  $\hat{W}_{LH}$  and  $\hat{W}_{M}$  (in the same fashion as  $W_{LH}$  and  $W_{HL}$ , respectively, were from Y). Authentication matrices are computed:

$$A_{LH}(i,j) = \hat{W}_{LH}(i,j) \oplus W^e_{LH}(i,j)$$
(13)

$$A_{HL}(i,j) = \hat{W}_M(i,j) \oplus W^e_{HL}(i,j)$$
(14)

where  $\oplus$  is the exclusive OR binary operator and  $1 \leq i \leq \lfloor \frac{M_x}{8} \rfloor$ ,  $1 \leq j \leq \lfloor \frac{M_y}{8} \rfloor$ . Visual inspection of the  $A_{LH}(i, j)$  and  $A_{HL}(i, j)$  can provide some information on the localization of tampering.

To further assess tampering, we introduce the notions of a credible, processed and fabricated image. A *credible* image is defined as one in which the essential content in is tact (e.g., through perceptual coding). An image is *processed* if the distortions result in extracted watermarks that do not exactly match the generated. An image is considered *fabricated* if the entire content of the image is not credible. Distinctions between  $R_{HL}$  and  $R_{HL}$  can be used to further characterize the tampering. It should be noted that a user without knowledge of the secret encryption key  $K_R$  cannot generate  $W_{HL}$  successfully, so  $A_{HL}$  ensures the source is legitimate, and  $A_{HL}$  and  $A_{LH}$  assess the integrity of the received image.

Quantitatively, we propose the use of an authentication statistic  $R_a = [R_{LH} || R_{HL}]$  where the error rates  $R_{LH}$ and  $R_{HL}$  are defined as the average values of  $A_{LH}(i, j)$  and  $A_{HL}(i, j)$ , respectively over all (i, j). Using a user specified decision threshold  $0 < \tau < 0.5$ , a tamper categorization on the received image is made as follows:  $R_{LH} = R_{HL} = 0$ means that the image content is credible and no modifications have been made; authentication of the sender is verified,  $R_{LH}, R_{HL} < \tau$  means that the image content is credible, but the image has been processed, and otherwise we say that the image content is not credible.

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| Algorithms  | Sub attack | Signal processing attacks $P_f$ % |             |             |          |          |                    |
|-------------|------------|-----------------------------------|-------------|-------------|----------|----------|--------------------|
|             | $P_m$ %    | No attack                         | Hist.Equal. | salt.Pepper | Gaussian | JPEG 70% | Low-pass Filtering |
| [1]         | 3.2        | 0.0                               | 23          | 1           | 2.5      | 3.4      | 15                 |
| [4]         | 1.0        | 1.1                               | 31          | 19.5        | 1.1      | 6.7      | 58                 |
| [2]         | 0.0        | 0.0                               | 45          | 80          | 75       | 7.2      | 58                 |
| [5]         | 0.8        | 0.0                               | 47          | 0.3         | 12       | 45       | 53                 |
| dual domain | 0.1        | 0.0                               | 20          | 0.7         | 2.5      | 0.8      | 34                 |

Table 1. Comparisons of the authentication capabilities of the proposed combined authentication-compression method.

## 4. SIMULATION RESULTS

We have tested our algorithm on CH artwork images acquired from an ancient book "Le Livre des Mille Nuits et une Nuit." Our algorithm is compared to the following four influential semi-fragile watermarking methods from the research literature [1, 4, 2, 5]. To assess the authentication performance, two figure of merit are used: probability of miss  $P_m$ , and probability of false alarm  $P_f$ ; these standard measures are used to assess baseline performance of authentication watermarking schemes [8].

The error rates are computed over ten different test images each watermarked ten times. The quantization factor is set to  $\delta = 12$  which results in a PSNR of 38 dB.

The attacks for which the error rates are computed include those from  $\Omega_R$  and  $\Omega_F$ . All tests were conducted using MATLAB. The content-preserving manipulations are well known attacks and include mild compression which we define as JPEG compression at 70% quality factor (which corresponds to a bit rate of 0.5 bpp), additive white Gaussian noise (at 30 dB SNR),  $3 \times 3$  Weiner filtering (using the function weiner2 in MATLAB), additive salt and pepper noise (at 1%). In addition, we also tested the approach on histogram equalization (using the function histeq in MAT-LAB). The results for malicious modifications involving sophisticated content substitution are also presented [8].

The results, reported in Table 1 for  $\tau = 0.45$  (this value has been experimentally found to be optimal in terms of reducing  $P_m$  and  $P_f$ ), show the overall better performance of the proposed dual domain authentication approach. Our method ranks number one for three of the seven attacks, and number two for the remaining four of the seven attacks. The other methods are each appropriate for different attacks, but do not exhibit the attractive global behavior of the proposed scheme. Furthermore, if a content change occurs, the proposed test cases are correctly able to identify it and its location.

The color recovery results in the presence of lossy compression  $f_l$  are also visually promising for the proposed algorithm, but are not reported for reasons of space.

### 5. CONCLUSIONS

This paper discusses an approach to combine image authentication with compression for the security within a digital watermarking paradigm. The overall algorithm makes use of orthogonal dual domains and compressive data hiding for an integrated algorithm. Application of the approach to real CH imagery provides an indication of the potential of the approach and its improved performance over existing

#### research.

Overall, we have observed that image subspace orthogonality can be exploited in a digital watermarking framework to provide a flexible multipurpose algorithm for both security and compression. Various components can be individually optimized for performance with little interference, but the partitioning of subspaces must be well-suited for the intended application.

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