Programming Overlay Networks with Overlay Sockets

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Abstract. The emergence of application-layer overlay networks has inspired the development of new network services and applications. Research on overlay networks has focused on the design of protocols to maintain and forward data in an overlay network, however, less attention has been given to the software development process of building application programs in such an environment. Clearly, the complexity of overlay network protocols calls for suitable application programming interfaces (APIs) and abstractions that do not require detailed knowledge of the overlay protocol, and, thereby, simplify the task of the application programmer. In this paper, we present the concept of an *overlay socket* as a new programming abstraction that serves as the end point of communication in an overlay network. The overlay socket provides a socket-based API that is independent of the chosen overlay topology, and can be configured to work for different overlay topologies. The overlay socket can support application data transfer over TCP, UDP, or other transport protocols. This paper describes the design of the overlay socket and discusses API and configuration options. The overlay socket has been used to develop a variety of applications, from multicast-file transfer programs, to multicast video streaming systems.

Key words: Overlay Networks, Application-layer Multicast, Overlay Network Programming.

1 Introduction

Application-layer overlay networks [5, 10, 14, 18] provide flexible platforms for developing new network services [11, 12, 15, 9, 20, 22, 21, 1, 19] without requiring changes to the network-layer infrastructure. Members of an overlay network, which can be hosts, routers, servers, or applications, organize themselves to form a logical network topology, and communicate only with their respective neighbors in the overlay topology. A member of an overlay network sends and receives application data, and also forwards data intended for other members.

This paper addresses application development in overlay networks. We use the term *overlay network programming* to refer to the software development process of building application programs that communicate with one another in an application-layer overlay network. The diversity and complexity of building and maintaining overlay networks make it impractical to assume that application developers can be concerned with the

complexity of managing the participation of an application in a specific overlay network topology.

We present a software module, called *overlay socket*, that intends to simplify the task of overlay network programming. The design of the overlay socket pursues the following set of objectives: First, the application programming interface (API) of the overlay socket does not require that an application programmer has knowledge of the overlay network topology. Second, the overlay socket is designed to accommodate different overlay network topologies. Switching to different overlay network topologies is done by modifying parameters in a configuration file. Third, the overlay socket, which operates at the application-layer, can accommodate different types of transport layer protocols. This is accomplished by using *network adapters* that interface to the underlying transport layer network and perform encapsulation and de-encapsulation of messages exchanged by the overlay socket. Currently available network adapters are TCP, UDP, and UDP multicast. Even though the overlay socket has been designed with the Internet protocols in mind, in principle, one can design network interfaces for non-Internet protocols. Fourth, the overlay socket provides mechanisms for bootstrapping new overlay networks. We note that security-related issues are not emphasized in the design of the overlay socket. While mechanisms that ensure integrity and privacy of overlay network communication can be integrated in the overlay socket, they are not discussed in the context of this paper.

In this paper, we provide an overview of the overlay socket design and discuss overlay network programming with the overlay socket. The overlay socket has been implemented in Java as part of the HyperCast 2.0 software distribution [13]. The software has been used for various multicast application programs, and has been tested in both local-area as well as wide-area settings. The HyperCast 2.0 software implements the overlay topologies described in [16] and [17]. This paper highlights important issues of the overlay socket, but it is not a comprehensive description. A significant amount of additional information can be found in the design documentation available from [13].

Several studies before us have addressed overlay network programming issues. Even early overlay network proposals, such as Yoid [10], Scribe [4], and Scattercast [7], have presented APIs that aspire to achieve independence of the API from the overlay network topology used. Particularly, Yoid and Scattercast use a socket-like API, however, these APIs do not address issues that arise when the same API is used by different overlay network topologies. Several works on application-layer multicast overlays integrate the application program with the software responsible for maintaining the overlay network, without explicitly providing general-purpose APIs. These include Narada [5], Overcast [14], ALMI [18], and NICE [2]. A recent study [8] has proposed a common API for the class of so-called *structured overlays*, which includes Chord [21], CAN [19], and Bayeux [22], and other overlays that were originally motivated by distributed hash tables. Our work has a different emphasis than [8], since we assume a scenario where an application programmer must work with several, possibly fundamentally dif-

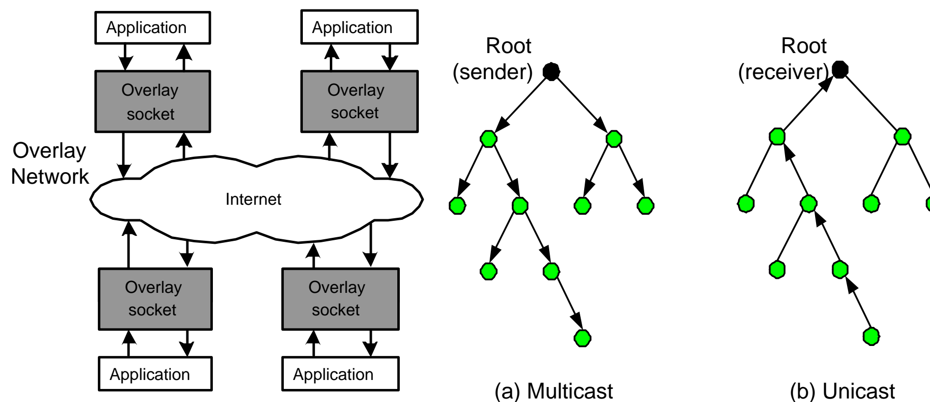

Fig. 1. The overlay network is a collection of overlay sockets.

Fig. 2. Data forwarding in overlay networks.

ferent, overlay network topologies and different transmission modes (UDP, TCP), and, therefore, needs mechanisms that make it easy to change the configuration of the underlying overlay network.

The rest of the paper is organized as following. In Section 2 we introduce concepts, abstractions, and terminology needed for the discussion of the overlay socket. In Section 3 we present the design of the overlay socket, and discuss its components. In Section 4 we show how to write programs using the overlay socket. We present brief conclusions in Section 5.

2 Basic Concepts

An *overlay socket* is an endpoint for communication in an overlay network, and an overlay network is seen as a collection of overlay sockets that self-organize using an overlay protocol (see Figure 1). An overlay socket offers to an application programmer a Berkeley socket-style API [3] for sending and receiving data over an overlay network. Each overlay socket executes an *overlay protocol* that is responsible for maintaining the membership of the socket in the overlay network topology.

Each overlay socket has a *logical address* and a *physical address* in the overlay network. The logical address is dependent on the type of overlay protocol used. In the overlay protocols currently implemented in HyperCast 2.0, the logical addresses are 32-bit integers or (x, y) coordinates, where x and y are positive 32-bit positive integers. The physical address is a transport layer address where overlay sockets receive messages from the overlay network. On the Internet, the physical address is an IP address and a TCP or UDP port number. Application programs that use overlay sockets only work with logical addresses, and do not see physical addresses of overlay nodes.

When an overlay socket is created, the socket is configured with a set of configuration parameters, called *attributes*. The application program can obtain the attributes

from a configuration file or it downloads the attributes from a server. The configuration file specifies the type of overlay protocol and the type of transport protocol to be used, but also more detailed information such as the size of internal buffers, and the value of protocol-specific timers. The most important attribute is the *overlay identifier* (overlay ID) which is used as a global identifier for an overlay network and which can be used as a key to access the other attributes of the overlay network. Each new overlay ID corresponds to the creation of a new overlay network.

Overlay sockets exchange two types of messages, *protocol messages* and *application messages*. Protocol messages are the messages of the overlay protocol that maintain the overlay topology. Application messages contain application-data that is encapsulated in an overlay message header. An application message uses logical addresses in the header to identify source and destination of the message. If an overlay socket receives an application message from one of its neighbors in the overlay network, it determines if the message must be forwarded to other overlay sockets, and if the message needs to be passed to the local application. The transmission modes currently supported by the overlay sockets are unicast, and multicast. In multicast, all members in the overlay network are receivers. In both unicast and multicast, the common abstraction for data forwarding is that of passing data in spanning trees that are embedded in the overlay topology. For example, a multicast message is transmitted downstream a spanning tree that has the sender of the multicast message as the root (see Figure 2 (a)). When an overlay socket receives a multicast message, it forwards the message to all of its downstream neighbors (children) in the tree, and passes the message to the local application program. A unicast message is transmitted upstream a tree with the receiver of the message as the root (see Figure 2(b)). An overlay socket that receives a unicast message forwards the message to the upstream neighbor (parent) in the tree that has the destination as the root.

An overlay socket makes forwarding decisions locally using only the logical addresses of its neighbors and the logical address of the root of the tree. Hence, there is a requirement that each overlay socket can locally compute its parent and its children in a tree with respect to a root node. More specifically, given the logical address of some overlay socket R, an overlay socket with logical address A must be able to compute the logical address of A's parent and children in an embedded tree which has R as the root. This requirement is satisfied by many overlay network topologies, including [16, 17, 19–22].

3 The Components of an Overlay Socket

An overlay socket consists of a collection of components that are configured when the overlay socket is created, using the supplied set of attributes. These components include the overlay protocol, which helps to build and maintain the overlay network topology, a component that processes application data, and interfaces to a transport-layer network. The main components of an overlay socket, as illustrated in Figure 3, are as follows:

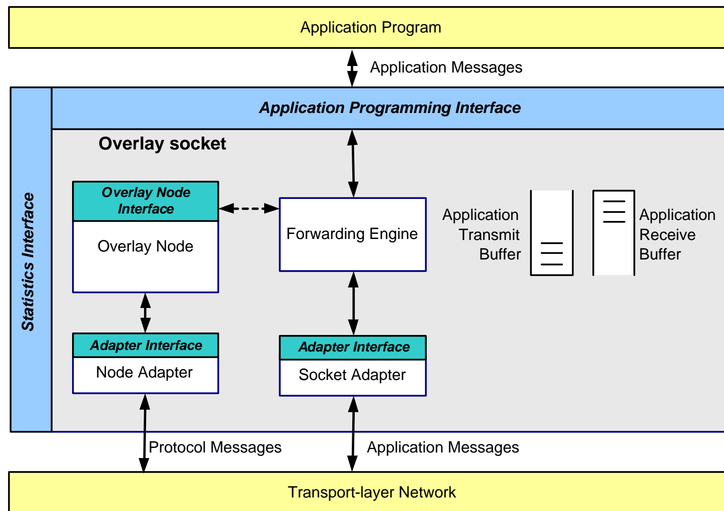

Fig. 3. Components of an overlay socket.

- The **overlay node** implements an overlay protocol that establishes and maintains the overlay network topology. The overlay node sends and receives overlay protocol messages, and maintains a set of timers. The overlay node is the only component of an overlay socket that is aware of the overlay topology.
- The **forwarding engine** performs the functions of an application-layer router, that sends, receives, and forwards formatted application-layer messages in the overlay network. The forwarding engine communicates with the overlay node to query next hop routing information for application messages. The forwarding decision is made using logical addresses of the overlay nodes.
- Each overlay socket has two **adapters** that each provides an interface to transport-layer protocols, such as TCP or UDP. The **node adapter** serves as the interface for sending and receiving overlay protocol messages, and the **socket adapter** serves as the interface for application messages. Each adapter has a transport level address, which, in the case of the Internet, consists of an IP address and a UDP or TCP port number. Currently, there are three different types of adapters, for TCP, UDP, and UDP multicast. Using two adapters completely separates the handling of messages for maintaining the overlay protocol and the messages that transport application data. Particularly, socket and node adapters in the same overlay socket need not use the same transport protocol. Having two adapters simplifies the support for multiple overlay network protocols, since changes to the overlay protocol and its message formats does not have an impact on the processing of application data.
- The **application receive buffer** and **application transmit buffer** can temporarily store messages that, respectively, have been received by the socket but not been delivered to the application, or that have been released by the application program,

but not been transmitted by the socket. The application transmit buffer can play a role when messages cannot be transmitted due to rate control or congestion control constraints[1].

- Each overlay socket has two external interfaces. The **application programming interface** (API) of the socket offers application programs the ability to join and leave existing overlays, to send data to other members of the overlay network, and receive data from the overlay network. The **statistics interface** of the overlay socket provides access to status information of components of the overlay socket, and is used for monitoring and management of an overlay socket. Note in Figure 3 that some components of the overlay socket also have interfaces, which are accessed by other components of the overlay socket. Specifically, the overlay node and the adapters have a uniform internal API when different overlay protocols and adapter types are used.

The following component is external to the overlay socket (and not shown in Figure 3), but it interacts closely with the overlay socket.

- The **overlay manager** is responsible for configuring an overlay socket when the socket is created. The overlay manager reads a configuration file that stores the attributes of an overlay socket, and, if it is specified in the configuration file, may access attributes from a server, and then initiates the instantiation of a new overlay socket.

Next we describe some of the components in more detail.

3.1 Overlay Node

Running an application program with a different overlay protocol simply requires to change the overlay node. Across different overlay protocols, all overlay nodes have a set of common features. First, each overlay node maintains a neighborhood table, which contains a list of its neighbors in the overlay network topology. Each entry of the neighborhood table contains the logical and the physical address of a neighbor. Each overlay node exchanges overlay protocol-specific messages with its neighbors, and maintains a set of protocol-specific timers. The overlay node is activated when timers expire and when protocol messages are received.

Even though not strictly required, overlay protocols should be soft-state protocols, where all remote state information is periodically refreshed. This can be done if each overlay socket maintains a timer, and periodically sends a protocol message to each of its neighbors. If an overlay node does not receive a message from a neighbor, the neighbor is eventually removed from the neighborhood table.

In the HyperCast 2.0 software, there are overlay nodes that build a logical hypercube [16] and a logical Delaunay triangulation [17].

[1] The application transmit buffer is not implemented in the HyperCast 2.0 software.

3.2 Forwarding Engine

The forwarding engine forwards application messages to its children or to its parent in a tree that is embedded in the overlay network. The next-hop routing information for forwarding messages is obtained from the overlay node component. For example, when the forwarding engine wants to pass a message to the parent node with respect to the root with logical address *LARoot*, it issues a request '*getParent(LARoot)*' to the local overlay node. The overlay node returns the logical address and the physical address of the parent. Then, the forwarding engine sends the message to the given physical address via the socket adapter. When the overlay topology changes, it may happen that two consecutive next-hop requests, e.g., two requests '*getParent(LARoot)*', yield addresses of different overlay sockets. Here, the forwarding engine is left unaware that the overlay network topology has changed. The forwarding engine always assumes that the information provided by the overlay node is correct. This simplicity of the forwarding engine is intentional and contributes to the efficiency of the overlay socket when handling large volumes of data.

When a forwarding engine receives an application message, it passes the message to the application if the message is a multicast message, and then forwards the message to all children nodes with respect to the logical address of the sender. If the received message is a unicast message, it passes the message to the application if the local overlay socket is the destination, or, otherwise, forwards the message to the parent with respect to the logical address of the destination. If a message must be forwarded but cannot be transmitted due to flow control or congestion control constraints, then it is stored in the application transmit buffer.

There are two methods for passing an application message to the application program. If the application program has supplied a callback function for processing incoming messages, then the callback function is executed. If no callback function is available, the received message is written to the application receive buffer, from where the application program can retrieve the message with a receive operation.

3.3 Adapters

The adapter type determines how overlay sockets exchange overlay protocol and application messages. Each adapter can be configured to use TCP, UDP, or, at least in principle, other transport protocols. The type of the socket adapter determines the semantics of the data delivery service. Since UDP provides a best effort service, a socket with UDP provides an unassured message delivery between neighbors in the overlay. When the socket adapter is configured to use TCP, the data exchange between neighbors in the overlay is reliable. Note, however, that end-to-end reliability is not assured, since forwarding engines in intermediate overlay sockets may drop messages.

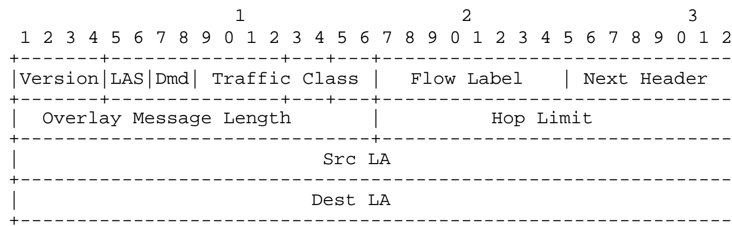

Fig. 4. Common header of an application messages.

3.4 Message Format

Overlay sockets exchange two types of messages, overlay protocol messages and application messages. Overlay messages are generated by the the overlay node and transmitted by the node adapter. Application messages are processed by the forwarding engine and transmitted via the socket adapter. Overlay protocol messages are defined by the overlay protocol and specific to a certain overlay topology. Application messages, have a common format that is identical in all configurations of the overlay socket.

Each application message has a common header which is loosely modeled after the IPv6 message format. The fields of the application message header are shown in Figure 4. The version field is set to 0 in the current version. The *LAS* field determines the size of the logical address. Using the formula '(LAS+1)$\times$4 bytes', the size of a logical address is between 4 and 16 bytes. The delivery mode indicates whether the packet is a multicast, flood, unicast, or anycast packet (Anycast is currently not implemented). The traffic class and flow label fields are intended to play a similar role as in IPv6, but are currently not used. The *Next Header* field allows a concatenation of different headers. There is a whole set of extension headers defined, for which we refer to [13]. The simplest form of a message is a 'raw messages' (*Next Header = 0x05*) where the overlay message has only a single payload field. The hop limit field plays the same role as in IPv6. Finally, the *SrcLA* field and the *DestLA* field are the logical addresses of the source and the destination. The length of the address fields is determined by the *LAS* field. Multicast messages (*Dmd=0*) do not have a *DestLA* field.

4 Overlay Network Programming

An application developer does not need to be familiar with the details of the components of an overlay socket as described in the previous section. The developer is exposed only to the API of the overlay socket and to a file with configuration parameters. The configuration file is an ASCII file which stores all attributes needed to configure an overlay socket. The configuration file is modified whenever a change is needed to the transport protocol, the overlay protocol, or some other parameters of the overlay socket. In the following, we summarize only the main features of the API, and we refer to [13] for detailed information on the overlay socket API.

4.1 Overlay Socket API

Since the overlay topology and the forwarding of application-layer data is transparent to the application program, the design of an API for overlay network programming is straightforward. Simply, applications need to be able to create a new overlay network, they must be able to join and leave an existing overlay network, they must be able to send data to members in the overlay network, and they must be able to receive data sent by other members in the overlay.

The API of the overlay socket is message-based, and intentionally stays close to the familiar Berkeley socket API [3]. In fact, changing an application program that uses multicast datagram sockets to overlay sockets requires only few modifications. Even though the API presented here uses Java, the API is not bound to use Java. The effort to transcribe the API to other programming language is comparable to a corresponding effort for Berkeley sockets.

Since space considerations do not permit a description of the full API, we sketch the API with the help of a simplified example. Figure 5 shows the fragment of a Java program that uses an overlay socket. An application program configures and creates an overlay socket with the help of an overlay manager (*om*). The overlay manager reads configuration parameters for the overlay socket from a configuration file (*hypercast.prop*), which can look similarly as shown in Figure 6. The application program reads the overlay ID with command *om.getDefaultProperty("OverlayID")* from the file, and creates an configuration object (*config*) for an overlay socket with the given overlay ID. The configuration object also loads all configuration information from the configuration file, and then creates the overlay socket (*config.createOverlaySocket*). Once the overlay socket is created, the socket joins the overlay network (*socket.joinGroup*). When a socket wants to multicast a message, it instantiates a new message (*socket.createMessage*) and transmits the message using the *sendToAll* method. Other transmission options are *sendToParent*, *sendToChildren*, *sendToNeighbors*, and *sendToNode*, which, respectively, send a message to the upstream neighbor with respect to a given root (see Figure 2), to the downstream neighbors, to all neighbors, or to a particular node with a given logical address.

4.2 Overlay Network Properties Management

As seen, the properties of an overlay socket are configured by setting attributes in a configuration file. The overlay manager in an application process uses the attributes to create a new overlay socket. By modifying the attributes in the configuration file, an application programmer can configure the overlay protocol or transport protocol that is used by the overlay socket. Changes to the file must be done before the socket is created. Figure 6 shows a (simplified) example of a configuration file. Each line of the configuration files assigns a value to an attribute. The complete list of attributes and the range of values is documented in [13]. Without explaining all entries in Figure 6, the

```
  // Generate the configuration object
OverlayManager om = new
OverlayManager("hypercast.prop");
String MyOverlay =
  om.getDefaultProperty("OverlayID");
OverlaySocketConfig config =
    new om.getOverlaySocketConfig(MyOverlay);
  // create an overlay socket
OL_Socket socket =
  config.createOverlaySocket(callback);
  // Join an overlay
socket.joinGroup();
  // Create a message
OL_Message msg = socket.createMessage(byte[]
data, int length);
  // Send the message to all members in overlay network
socket.sendToAll(msg);
  // Receive a message from the socket
OL_Message msg = socket.receive();
```

Fig. 5. Program with overlay sockets.

```
  # OVERLAY Server:
OverlayServer =
  # OVERLAY ID:
OverlayID = 1234
KeyAttributes= Socket,Node,SocketAdapter
  # SOCKET:
Socket = HCast2-0
HCAST2-0.TTL = 255
HCAST2-0.ReceiveBufferSize = 200
  # SOCKET ADAPTER:
SocketAdapter = TCP
SocketAdapter.TCP.MaximumPacketLength = 16384
  # NODE:
Node = DT2-0
DT2-0.SleepTime = 400
  # NODE ADAPTER:
NodeAdapter = NodeAdptUDPServer
NodeAdapter.UDP.MaximumPacketLength = 8192
NodeAdapter.UDPServer.UdpServer0 =
128.143.71.50:8081
```

Fig. 6. Configuration file (simplified).

file sets, among others, the overlay ID to *'1234'*, selects version 2.0 of the DT protocol as overlay protocol (*'Node=DT2-0'*), and it sets the transport protocol of the socket adaptor to TCP (*'SocketAdapter=TCP'*).

Next we provide additional background on the management of attributes of an overlay socket. Each overlay network is associated with a set of attributes that characterize the properties of the overlay sockets that participate in the overlay network. As mentioned earlier, the most important attribute is the overlay ID, which is used to identify an overlay network, and which can be used as a key to access all other attributes of an overlay network. The overlay ID should be a globally unique identifier. The overlay ID is an arbitrary string and does not require a specific format or naming convention.

A new overlay network is created by generating a new overlay ID and associating a set of attributes that specify the properties of the overlay sockets in the overlay network. Hence, changing the overlay ID in Figure 6 to a new value effectively creates a new overlay network (which has initially no members). To join an overlay network, an overlay socket must know the overlay ID and the set of attributes for this overlay ID. This information can be obtained from a configuration file, as shown in Figure 6.

All attributes have a name and a value, both of which are strings. For example, the overlay protocol of an overlay socket can be determined by an attribute with name *NODE*. If the attribute is set to *NODE=DT2-0*, then the overlay node in the overlay socket runs the DT (version 2) overlay protocol. The overlay socket distinguishes between two types of attributes: *key attributes* and *configurable attributes*. Key attributes are specific to an overlay network with a given overlay ID. Key attributes are selected when the overlay ID is created for an overlay network, and cannot be modified afterwards. Overlay sockets that participate in an overlay network must have identical key attributes, but can have different configurable attributes. The creator of an overlay ID is responsible for deciding which attributes are key attributes and which are not.

This is done with the attribute *KeyAttributes*. In Figure 6, the attribute *KeyAttributes= Socket,Node,SocketAdapter*, sets *Socket*, *Node*, and *SocketAdapter* as key attributes. In addition, the attributes *OverlayID* and *KeyAttributes* are key attributes by default in all overlay networks. Configurable attributes specify parameters of an overlay socket, which are not considered essential for establishing communication between overlay sockets in the same overlay network, and which are considered 'tunable'. However, having different values for the configurable attributes of overlay sockets may have a significant impact on the performance of the overlay network.

5 Conclusions

We discussed the design of an *overlay socket* which attempts to simplify the task of overlay network programming. The overlay socket serves as an end point of communication in the overlay network. The overlay socket can be used for various overlay topologies and support different transport protocols. The overlay socket supports a simple API for joining and leaving an overlay network, and for sending and receiving data to and from other sockets in the overlay network. The main advantage of the overlay socket is that it is relatively easy to change the configuration of the overlay network. This is done with the help of configuration parameters, called attributes, that configure the components of an overlay socket, when an overlay socket is created. This provides a substantially increased flexibility, as compared to existing APIs for overlay networks.

An implementation of the overlay socket is distributed with the HyperCast2.0 software. The software has been extensively tested. A variety of different applications, such as distributed whiteboard and a video streaming application, have been developed with the overlay sockets. Currently ongoing work consists of adding services that enhance the simple message-oriented API of the overlay socket and provide better support for data aggregation, synchronization, service differentiation, and streaming.

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