

# ECE462 – Lecture 9

- **Information Theory Fundamentals/Entropy**

Given an information source with alphabet

$$S = \{s_1, s_2, s_3 \dots \dots, s_n\}$$

and  $P_i = P[S = s_i]$

The “uncertainty” or “self-information” of the event  $\{S = s_i\}$  is given by

$$\log_2 \frac{1}{P_i} = - \log_2 P_i$$

The “entropy” of the source is defined as

$$\eta = H(S) = \sum_i P_i \log_2 \frac{1}{P_i} = - \sum_{i=1}^n P_i \log_2 P_i \quad (\text{bits})$$

- **The entropy is a measure of disorder**

- The higher the order the less the entropy
- The higher the randomness of the source the higher the entropy

## **In Coding Theory**

1. The average codeword length of any code (in bits) cannot be less than the entropy
2. The entropy of a source  $\mathcal{S}$  represents the minimum average number of bits required to establish the “outcome” of  $\mathcal{S}$
3. In lossless compression the lower possible “average” bit representation of a source is given by the entropy of the source

- **Examples:**

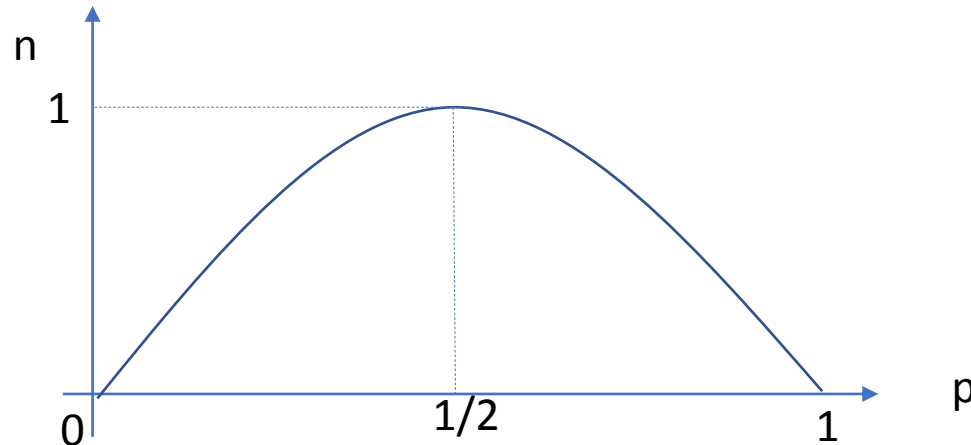
1. Consider an image (grey scale) 256 possible levels each having the same chance of occurring.  
Then

$$P_i = P(S = Si) = \frac{1}{256} \quad i=0, \dots, 255$$

$$\text{Entropy} = n = H(S) = \sum_{i=0}^{255} \frac{1}{256} \log_2 256 \quad (8 \text{ bits})$$

2. Consider a black & white image with  $P[S = \text{black}] = P$ ,  $P[S = \text{white}] = 1 - P$

$$\text{Then } n = H(S) = -P \log_2 P - (1 - P) \log_2 (1 - P) \leq 1 \text{ bit}$$



- **Example:**

A basket contains 16 balls.

4 balls are labelled as “A”

4 balls are labelled as “B”

2 balls are labelled as “C”

2 balls are labelled as “D”

Remaining 4 balls are labelled as “E”, “F”, “G”, “H”

D.H picks a ball at random and records the label.

Discuss what strategies a student in ECE462 can use to find out the label of the ball through a series of yes/no questions. Compare the average number of questions asked to the entropy of the information source given here by the set  $\mathcal{S} = \{A, B, C, D, E, F, G, H\}$

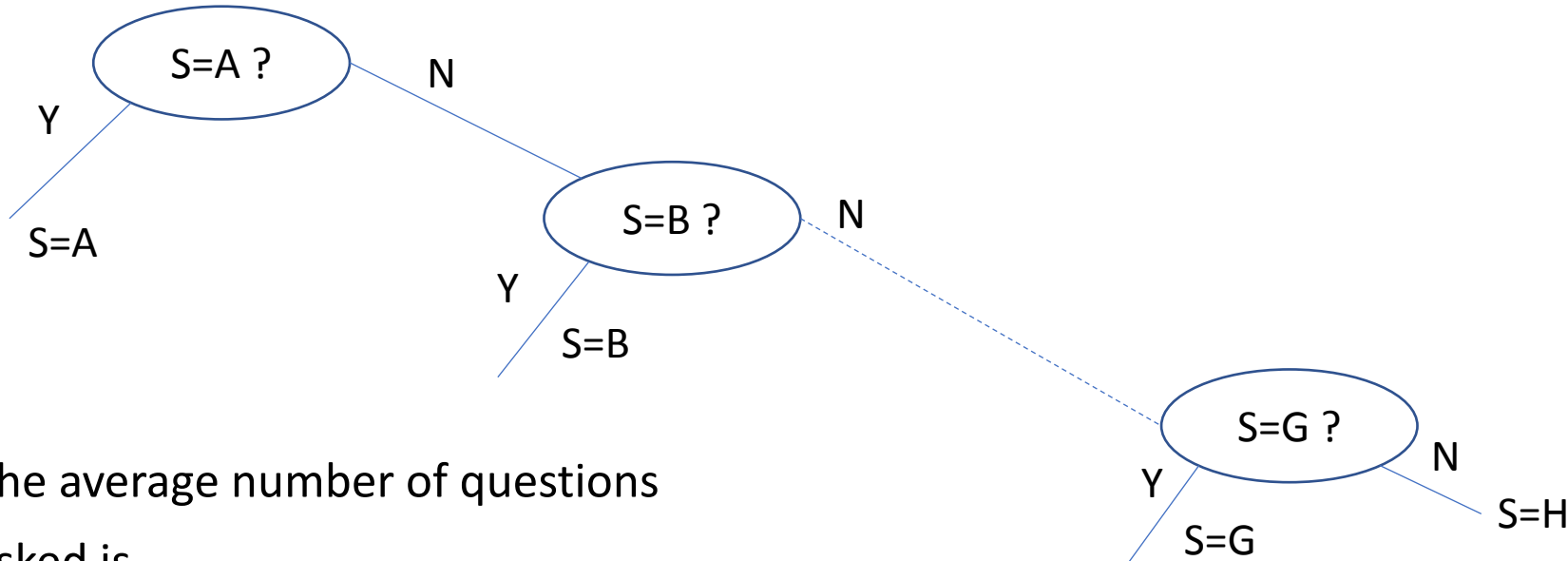
Obviously:  $P\{A\} = P\{B\} = \frac{4}{16} = \frac{1}{4}$

$$P\{C\} = P\{D\} = \frac{2}{16} = \frac{1}{8}$$

$$P\{E\} = P\{F\} = P\{G\} = P\{H\} = \frac{1}{16}$$

- **Strategy1:** Starting with the highest probability events ask the question {is S = " " } sequentially until the answer is "Yes".

The following tree is constructed

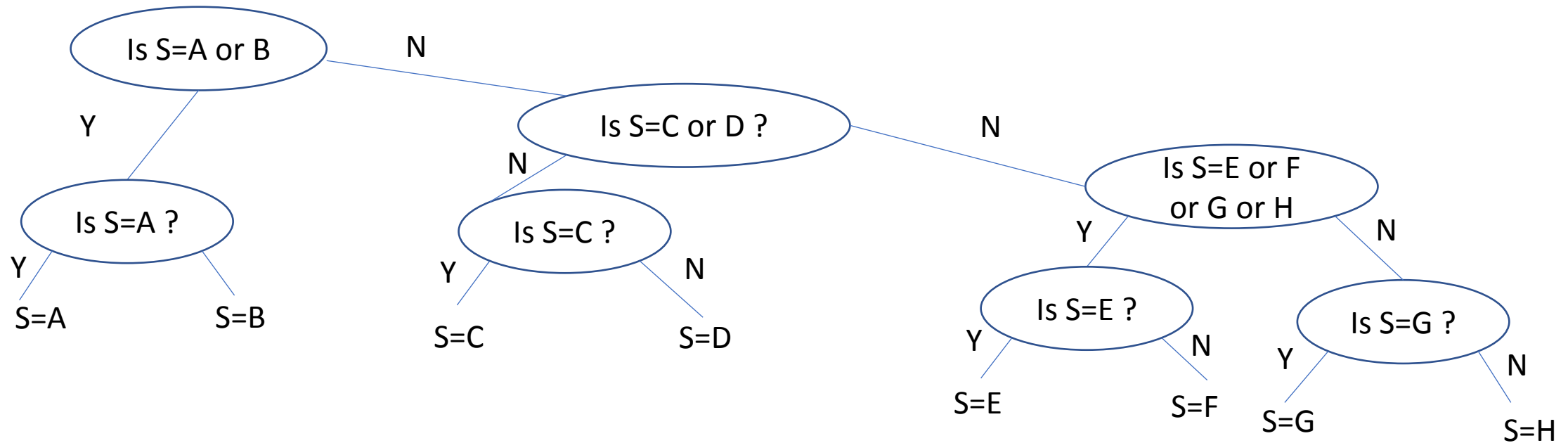


The average number of questions asked is

1 quest. for A x P(S=A) + 2 quest. for B x P(S=B) + 3 quest. for C x P(S=C) + 4 quest. for D x P(S=D) + 5 quest. for E x P(S=E) + 6 quest. for F x P(S=F) + 7 quest. for G or H. x P(S=G or S=H)

$$= 1 \frac{1}{4} + 2 \frac{1}{4} + 3 \frac{1}{8} + 4 \frac{1}{8} + 5 \frac{1}{16} + 6 \frac{1}{16} + \frac{7}{16} + \frac{7}{16} = \frac{51}{16}$$

- **Strategy2:** Design the tree so that the answer yes/no are equiprobable.



Now we have:

asked is

2 quest. for A x P(S=A) + 2 quest. for B x P(S=B) + 3. quest. for C x P(S=C) + 3 quest. for D. x P(S=D) + 4 quest. for E or F or G or H x P(S=E or F or G or H)

$$= 2 \frac{1}{4} + 2 \frac{1}{4} + 3 \frac{1}{8} + 3 \frac{1}{8} + 4 \cdot 4 \frac{1}{16} = \frac{44}{16}$$

Now the entropy of the source is

$$\eta = H(S) = -\frac{1}{4} \log_2 \frac{1}{4} - \dots - \frac{1}{16} \log_2 \frac{1}{16} = \frac{44}{16}$$

Thus, the second strategy achieves the entropy of the source.

- If we replace the yes with “1” and No by “0” we can use the following representation for each letter in the basket

#### Strategy 1

Count		bits
4	A 1	4
4	B 0 1	8
2	C 0 0 1	6
2	D 0 0 0 1	8
1	E 0 0 0 0 1	5
1	F 0 0 0 0 0 1	6
1	G 0 0 0 0 0 0 1	7
1	H 0 0 0 0 0 0 0	7
-		-
16		51

Average bit required = 51/16

#### Strategy 2

		bits
A	1 1	8
B	1 0	8
C	0 1 1	6
D	0 1 0	6
E	0 0 1 1	4
F	0 0 1 0	4
G	0 0 0 1	4
H	0 0 0 0	4
-		-
		44

Average bit required = 44/16



- **HUFFMAN CODING:**

Given an information source **S**

1. Put all the symbols of the **S** sorted according to their frequency counts in descending order
- 2(a) Pick the two symbols with the lowest frequency, form a Huffman subtree with these two symbols as child nodes and create a parent node with frequency count the summation of the child nodes frequency counts.
- 2(b) Insert the parent node in the list so that order is maintained and delete the children from the list

Repeat until no symbol is left

3. Assign a codeword for each leaf in the tree based on the path from the root (Assign a “0” for each leaf on the left and “1” for each leaf on the right or vice versa)

Note: Huffman code is a variable length code

## • Question:

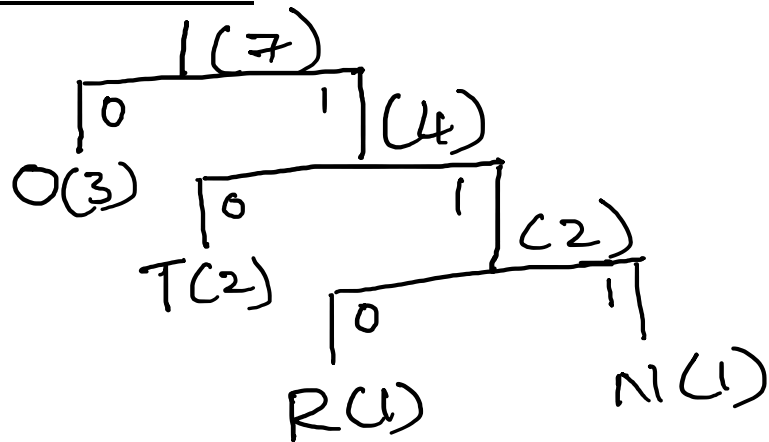
Is the previous example with the basket Huffman coded?

**Example2:** Consider coding the word "TORONTO"

Information Source:  $S = \{O, T, R, N\}$

Frequency Count            3 2 1 1

Huffman Tree



Symbol	count	code	#bits
O	3	0	3
T	2	1 0	4
R	1	1 1 0	3
N	1	1 1 1	3
	<u>7</u>		<u>13</u>
Average # of bits = $\frac{13}{7} = 1.8571 < 2$			

➤ Without Huffman coding we need 2 bits per symbol to represent the 4 symbols

➤ Source entropy:  $\frac{3}{7} \log_2 \frac{7}{3} + \frac{2}{7} \log_2 \frac{7}{2} + \frac{2}{7} \log_2 7 = 1.8424$

## • Important properties of Huffman coding:

### 1. Unique prefix:

No Huffman code is a prefix of another Huffman code

Important property for efficient decoding

### 2. The higher the frequency of the symbol the shorter the Huffman code

### 3. In JPEG no Huffman code can consist of all 1-bits. In this case we can always insert a dummy symbol with frequency 0 which will assume this representation.

## DECODING:

The obvious method to decode a Huffman code is to create a binary tree containing the values arranged according to their codes. Then start from the root using the value of bits read from the required data until a path is determined.

Student Name:

Student Number:

University of Toronto  
Faculty of Applied Science and Engineering

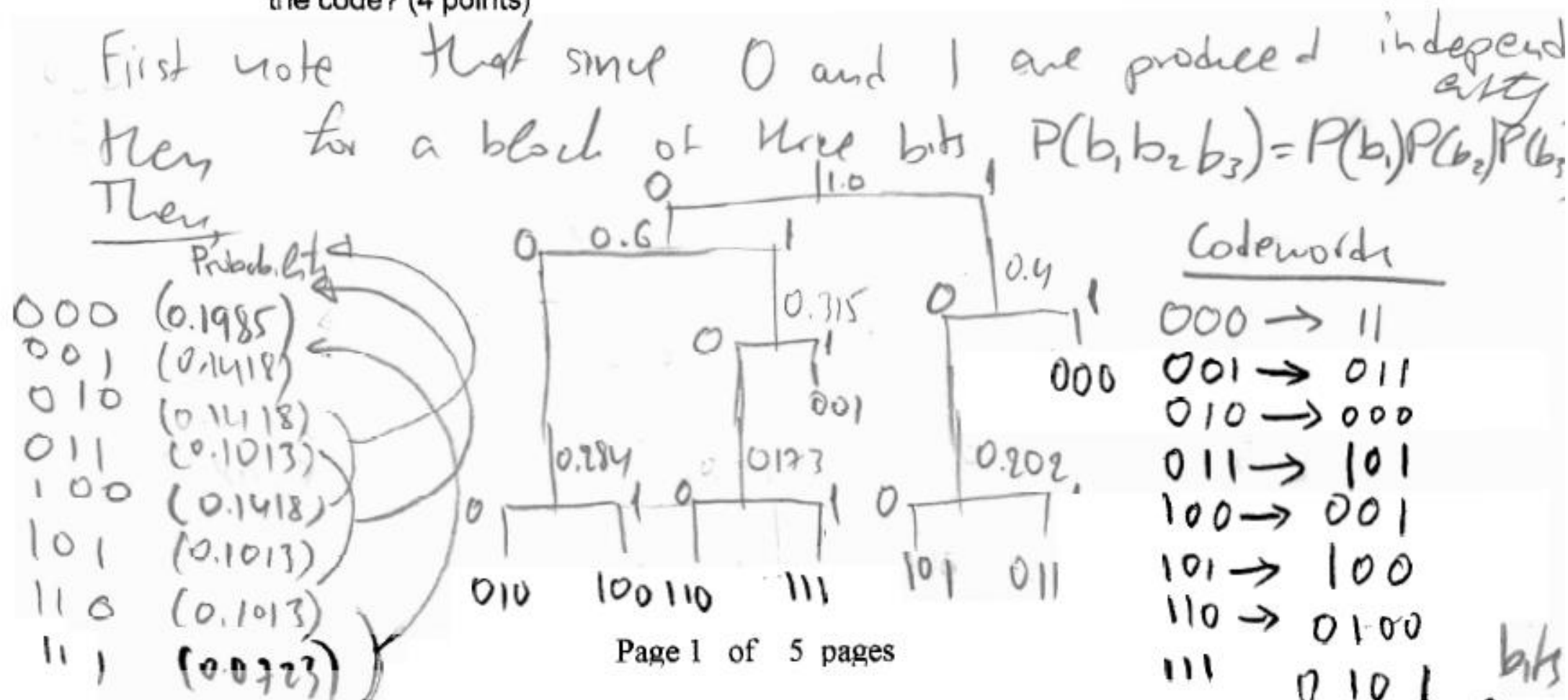
MIDTERM EXAMINATION  
ECE462H1S, Multimedia Systems  
Time allowed: 90 minutes  
March 9, 2006  
Examiner: D. Hatzinakos

Exam type A  
Non-programmable Calculators are allowed

- 1) Suppose a data source produces ones and zeros independently with probabilities  $P(0)=7/12$  and  $P(1)=5/12$ . What is the binary entropy of this source? (3 points)

$$H = \frac{7}{12} \log_2 \frac{12}{7} + \frac{5}{12} \log_2 \frac{12}{5} = 0.9799 \text{ bits}$$

- 2) Generate a Huffman code for blocks of 3 bits (ones or zeros) from the source of question 1. What will be the codewords and the average codeword length for the code? (4 points)



Average code length =  $1 \cdot 2 \cdot 0.1985 + 3 \cdot 3 \cdot 0.1418 + 2 \cdot 3 \cdot 0.1013 + 1 \cdot 4 \cdot 0.1013 + 4 \cdot 0.0723 = 2.97$